

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Thomas P. Sassi

Serial No.: 10/087,266

Filed: October 25, 2001

For: POLYMERIC ARTICLES CONTAINING
HINDERED AMINE LIGHT STABILIZERS
BASED ON MULTI-FUNCTIONAL
CARBONYL COMPOUNDS

§ Group Art Unit: 1714
§
§ Examiner: Not Yet Known
§
§ Docket No.: 00025-01
§
§ Date: August 12, 2002
§

1714 #15
8-3-02
COPY OF PAPERS
ORIGINALLY FILED

RECEIVED
AUG 22 2002
101700 MAIL ROOM

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant respectfully submits this Supplemental Information Disclosure Statement (IDS) pursuant to 37 C.F.R. §1.97 and §1.98 in order to comply with the duty of disclosure under 37 C.F.R. §1.56.

Applicant wishes to bring to the Examiner's attention that there are pending U.S. applications that have similar subject matter with the present invention. Specifically, these applications relate to the compounds of formula I, II and III in the present application. The applications are: U.S. Serial No. 09/704,793, filed November 3, 2000; U.S. Serial No. 09/704,527, filed November 3, 2000; U.S. Serial No. 09/704,872, filed November 3, 2000; U.S. Serial No. 10/045,333, filed October 25, 2001 (CIP of U.S. Serial No. 09/704,793) and U.S. Serial No. 10/002,516, filed October 25, 2001 (CIP of U.S. Serial No. 09/704,527).

Identification of the listed documents is not to be construed as an admission of Applicant or Attorneys for Applicant that such reference is available as "prior art" against the subject application.

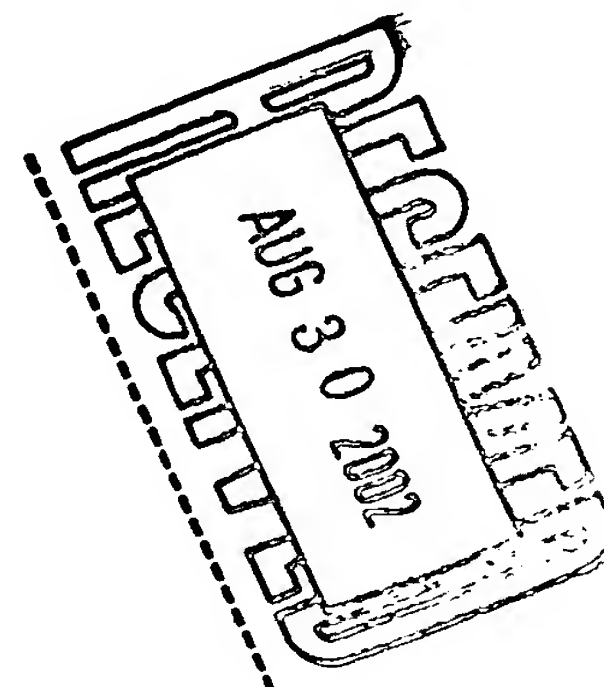
No fee is believed due since this IDS is being submitted prior to the first Office Action pursuant to 37 C.F.R. §1.97(b)(3). Should any fee be required, please charge to Deposit Account No. 03-4083.

The Examiner is requested to consider and to make this document of record during prosecution in the subject application.

Respectfully submitted,

James A. Jubinsky
Attorney for Applicant
Registration No. 42,700
Telephone (203) 321-2913

Cytec Industries Inc.
1937 West Main Street
P.O. Box 60
Stamford, CT 06904-0060





COPY OF PAPERS
ORIGINALLY FILED

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): Thomas P. Sassi

Docket No.

00025-01

Serial No.
10/087266

Filing Date
October 25, 2001

Examiner
Not Yet Known

Group Art Unit
Not Yet Known

Invention: Polymeric Articles Containing Hindered Amine Light Stabilizers Based on
Multi-Functional Carbonyl Compounds

I hereby certify that this Supplemental Information Disclosure Statement
(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on August 12, 2002
(Date)

Mary E. Miller

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.

COPY OF PAPERS
ORIGINALLY FILED

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): **Thomas P. Sassi**

Docket No.

00025-01

Serial No.

10/087266

Filing Date

October 25, 2001

Examiner

Not Yet Known

Group Art Unit

Not Yet Known

Invention: **Polymeric Articles Containing Hindered Amine Light Stabilizers Based on Multi-Functional Carbonyl Compounds**



RECEIVED
AUG 22 2002
TC 1700 MAIL ROOM

I hereby certify that this **Information Disclosure Citation and Cited References**
(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on **August 12, 2002**
(Date)

Mary E. Miller

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.

COPY OF PAPERS
ORIGINALLY FILED

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
00025-01

In Re Application Of:

Thomas P. Sassi

Serial No.
10/087,266

Filing Date
October 25, 2001

Examiner
Not Yet Known

Group Art Unit
1714

Title:

**POLYMERIC ARTICLES CONTAINING HINDERED AMINE LIGHT STABILIZERS
BASED ON MULTI-FUNCTIONAL CARBONYL COMPOUNDS**

Address to:

**Assistant Commissioner for Patents
Washington, D.C. 20231**

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

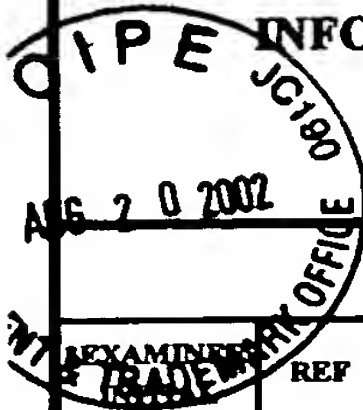
2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 00025-01
In Re Application: COPY OF PAPERS ORIGINALLY FILED <div style="text-align: center; margin-top: 5px;">Thomas P. Sassi</div>			
Serial No. 10/087,266	Filing Date October 25, 2001	Examiner Not Yet Known	Group Art Unit 1714
POLYMERIC ARTICLES CONTAINING HINDERED AMINE LIGHT STABILIZERS BASED ON MULTI-FUNCTIONAL CARBONYL COMPOUNDS			
<div style="text-align: center;"> Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) </div> <p> <input type="checkbox"/> A check in the amount of _____ is attached. <input checked="" type="checkbox"/> The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 03-4083 as described below. A duplicate copy of this sheet is enclosed. </p> <div style="margin-left: 40px;"> <input type="checkbox"/> Charge the amount of _____ <input type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required. </div> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <div style="width: 48%;"> <p>Certificate of Transmission by Facsimile*</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____ _____ (Date) </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> _____ Signature </div> <div style="border: 1px solid black; padding: 5px;"> _____ Typed or Printed Name of Person Signing Certificate </div> </div> <div style="width: 48%;"> <p>Certificate of Mailing by First Class Mail</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> I certify that this document and fee is being deposited on August 12, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> Signature of Person Mailing Correspondence Mary E. Miller </div> <div style="border: 1px solid black; padding: 5px;"> _____ Typed or Printed Name of Person Mailing Certificate </div> </div> </div> <div style="margin-top: 10px;"> <p>*This certificate may only be used if paying by deposit account.</p> <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="width: 45%;"> _____ Signature James A. Jubinsky Registration No. 42,700 </div> <div style="width: 45%; text-align: right;"> Dated: August 12, 2002 </div> </div> </div>			
Cytec Industries Inc. Patent Law Dept. 1937 W. Main Street P.O. Box 60 Stamford, CT 06904-0060 Telephone (203) 321-2913 CC:			



INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Docket Number (Optional) 00025-01	Application Number 10/025266
Applicant(s) Thomas P. Sassi	
Filing Date October 25, 2001	Group Art Unit 1714

COPY OF PAPERS
ORIGINALLY FILED

U.S. PATENT DOCUMENTS

EXAMINER'S REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	4,940,742	7/10/90	Aumueller et al.	524	93	Aug 11, 1989
AB	5,017,702	5/21/91	Aumueller et al.	546	99	Jul 6, 1989

RECEIVED
OCT 30 1991
PTO

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.